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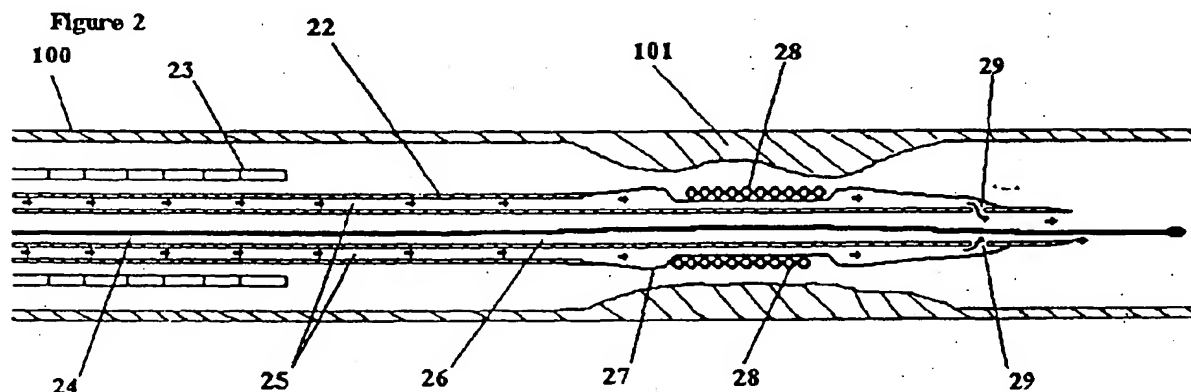
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(54) Method and apparatus for delivery, deployment and retrieval of stents

(57) The disclosure relates to a delivery, deployment and retrieval device for a stent (28) made from a phase-change material including a balloon catheter (22) modified to allow the stent to be secured on the outside of the balloon (27) without the need for a sheath. A temperature-controlled fluid to effect a phase change to ex-

pand the stent is injected through an inflation lumen (25) to maintain the selected temperature and to inflate the balloon. The balloon maintains intimate physical and thermal contact with the stent during deployment. One or more exit apertures (29) are provided for the fluid to escape from the inflation lumen and enhance the phase change by bathing the stent with the fluid.



## Description

This invention relates to methods and apparatus for delivering, deploying and retrieving medical endoprostheses, commonly referred to as "stents". More specifically, the invention relates to delivering a stent composed of shape-memory material on a balloon catheter without using an outer protective sheath, and deploying the stent by introducing temperature-controlled fluid through the balloon catheter to induce a shape change in the shape-memory material, the fluid causing the balloon to expand along with the stent thereby enhancing control over placement of the stent and enabling retrieval of the stent when necessary.

Stents are known in the prior art for maintaining the patency of a diseased or weakened vessel or other passageway. Stents have been implanted, for example, in passageways in the urinary tract system and in the coronary arteries of the endovascular system. Such mechanical prosthetic devices are typically inserted into the vessel, positioned across an affected area and then expanded, or allowed to self-expand, to keep the vessel or passageway open. Effectively, the stent overcomes the natural tendency of the weakened area to close. Stents used in the vascular system are generally implanted transluminally during or following percutaneous transluminal coronary angioplasty (angioplasty or PCTA).

A number of vascular stents have been proposed, including self-expanding stents and expandable stents. Self-expanding stents may be mechanically compressed springs which expand when released, and/or they may be constructed from shape-memory materials including shape-memory polymers and metals such as nickel-titanium ("Nitinol") alloys and the like, which have shape-memory characteristics.

In a manner known in the art, a stent formed of shape-memory alloy such as nickel-titanium is formed into a desired expanded configuration and then heated until the metal crystals assume their high-temperature structure known as the beta phase, parent phase or austenite. The stent is then cooled so that the crystals transition to a martensite crystal structure, generally with no change in shape. The material is then formed into a reduced diameter configuration for implantation.

When the temperature of the stent is later raised so that the crystal structure transitions to the parent phase, the shape of the stent returns to the desired expanded configuration. Typically, a material having a phase-change transition temperature in excess of 125°F is chosen to prevent premature expansion of the stent upon exposure to human body temperature (97.8°F). However, materials with lower phase-change transition temperatures may be used, provided the stent is insulated from the human body temperature prior to reaching the location for deployment.

Dotter U.S. Patent No. 4,503,569 describes a stent comprising a helically wound coil of shape-memory alloy

that is placed over the outer wall of a guide catheter. The stent and guide catheter are carried within an outer sheath. At the delivery site, the outer sheath is withdrawn. A hot saline solution is then injected through the inner guide catheter and flows out of the catheter through apertures, so that the fluid bathes the stent and causes it to expand. The patent describes that a balloon may be positioned on one or both sides of the stent to reduce thermodilution of the saline solution and enhance the phase change.

Balko et al. U.S. Patent No. 4,512,338 which discloses a Nitinol wire stent in the form of a coil which is delivered to the desired site while housed inside of an insulating sheath. When the insulating sheath is withdrawn, the surrounding body temperature causes the stent to expand.

Froix U.S. Patent No. 5,163,952 discloses shape-memory-polymer tubular and coil stents having an elastic memory which causes them to expand to a predetermined diameter upon exposure to particular conditions. Shape-memory polymer stents are initially formed having the desired expanded diameter. The stents are then physically stretched at elevated temperature causing them to assume a reduced diameter. While under tension, the stents are cooled to below the glass transition phase of the plastic. The stent then remains in the stretched and reduced-diameter configuration until after the stent is raised to above the glass transition temperature at the site desired to be treated. Depending upon the polymer selected and process of manufacture, stents can be formed to expand by different degrees and upon exposure to various conditions including various temperatures.

Various methods have been used to induce the temperature change required to effect the shape-memory characteristic, including intervascular electrical resistive heating elements, R.F., and temperature-controlled fluid boluses injected through a guide catheter around the delivery catheter.

A drawback of previously known delivery systems for shape-memory material stents is the use of a protective sheath to prevent premature expansion at body temperatures and to enhance delivery through the tortuous vessels of the vascular system. Such sheaths increase the cross-sectional profile of the delivery system, necessitating use of a delivery catheter with a larger diameter. The large diameter of the delivery catheter may in turn increase the risk of complications at the patient access site.

The increased cross-sectional profile of the delivery system also detracts from the ability of the device to navigate through tortuous vessels or passageways. The increased cross-sectional profile of the delivery system may make it impossible to deliver a phase-change material stent to the area desired to be treated and may decrease the ability to deliver sufficient contrast material through the guide catheter for enabling precise positioning.

Another drawback of self-expanding stents, both coiled spring stents and phase-change stents, is the inability to control expansion of the stent once the stent loses contact with the guide catheter. In particular, as the stent expands, or is pushed out of a catheter for self-expansion, it moves radially out of contact with the delivery device. Because there no longer is contact between the stent and the delivery device, there is no mechanism to control positioning of the stent location during this critical phase of deployment. Consequently, the stent may move away from the desired site.

Yet another problem is encountered when elevated (or reduced) temperatures are required to cause the phase change in the stent material. For example, in the system described in the Dotter patent, the fluid flowing through the catheter exchanges heat with the vessel wall, and as the fluid flows out of the catheter it is diluted by the blood or other fluids contained in the vessel. Thus, it may be necessary to flush large volumes of liquid through the guide catheter to maintain the required temperature environment around the stent to effect deployment. The injection of such large volumes of hot or cold fluid into the vessel may be injurious or hazardous to the vessel and the tissues through which it passes.

It would therefore be desirable to provide methods and apparatus for delivering a shape-memory material stent to an affected area of a vessel without using a sheath.

It would further be desirable to provide methods and apparatus for effecting the phase change of the stent without perfusing large quantities of fluid into the vessel to be treated.

It would still further be desirable to provide methods and apparatus for maintaining control over the positioning of a phase-change stent during deployment.

It is an object of this invention to provide methods and apparatus for delivering a phase-change stent to an affected area of a vessel without using a sheath.

It is a further an object of this invention to provide methods and apparatus for effecting the phase change of the stent without perfusing large quantities of fluid into the vessel to be treated.

It is still further an object of this invention to provide methods and apparatus for maintaining control over the positioning of a phase-change stent during deployment.

These and other objects of the invention are accomplished in accordance with the principles of the invention by providing a phase-change stent crimped or encapsulated onto the balloon of a balloon catheter having perfusion apertures communicating from the inflation lumen to the exterior of the catheter to enable a temperature-controlled fluid to be used both to pressurize the balloon and induce expansion of the stent. Some of the intended uses for a delivery system in accordance with the present invention include PTCA type stenting, PTA type stenting, graft support, graft delivery, INR use, GI tract use, drug delivery, and biliary stenting.

The following is a description of some specific em-

bodiments of the invention, reference being made to the accompanying drawings, in which:

FIG. 1 is an elevational view of a distal end of a previously known stent deployment catheter.

FIG. 2 is a cross-sectional view of a distal end of a stent deployment system constructed in accordance with the present invention.

FIGS. 3A and 3B show cross-sectional views, respectively, prior to and during deployment, of the distal end of a stent deployment system according to the present invention.

FIG. 4 is a cross-sectional view of a distal end of an alternative embodiment of a stent deployment system according to the present invention.

FIG. 5 is a cross-sectional view of a distal end of another alternative embodiment of a stent deployment system according to the present invention.

FIG. 6 is a cross-sectional view of a distal end of a single-lumen embodiment of a stent deployment system according to the present invention.

FIG. 7 is a cross-sectional view of the distal end of an alternative single-lumen embodiment of a stent deployment system according to the present invention.

The present invention relates generally to methods and apparatus for deploying stents made of shape-memory material. In particular, according to the present invention, a phase-change stent may be crimped or encapsulated onto the balloon of a balloon catheter. Encapsulation of the stent may be accomplished as described in U.S. Patent Application Serial No. 08/451,270, filed on May 30, 1995, which is hereby incorporated by reference.

In accordance with the present invention, a stent delivery system is provided including a balloon catheter having an inflation lumen in fluid communication with one or more perfusion apertures. Temperature-controlled fluid introduced into the inflation lumen serves both to pressurize and expand the balloon and also escape via the perfusion apertures to bathe the stent. This arrangement allows for the temperature of the stent to be controlled by the exiting fluid, while the inflating balloon maintains contact with the expanding stent. The balloon therefore assists in controlling the location of the stent during deployment and may also assist in its expansion in a conventional manner.

Referring to FIG. 1, an illustrative prior art stent deployment system, such as disclosed in the above-mentioned Dotter patent, is described. Coil stent 15 is shown compressed onto guide catheter 16. Guide catheter 16 has perfusion apertures 12 which enable heated saline to be directed over the stent to effect the phase change and expansion. During and after this deployment, coil stent 15 expands out of contact with guide catheter 16. Holes 13 allow the heated saline to inflate distally-located balloon 14 to reduce thermodilution of the heated saline from the vicinity of the stent.

Referring now to FIG. 2, an illustrative embodiment of a stent delivery system of the present invention is de-

scribed. Stent 28 illustratively forms a coil of shape-memory material, for example, Nitinol, positioned over balloon 27 of balloon catheter 22 in a stent engagement region. Shape-memory materials can also be used to form other stent configurations, such as tubular stents, without departing from the present invention. Balloon catheter 22 may be formed of conventional catheter materials and includes two lumens, central lumen 26 for receiving guidewire 24 and inflation lumen 25 for supplying temperature-controlled fluid to balloon 27.

Balloon catheter 22 is delivered over guidewire 24, through guide catheter 23, to stenosis 101 in vessel 100 using conventional catheterization techniques. When located at the site of stenosis 101, temperature-controlled fluid is introduced through inflation lumen 25 to balloon 27 as shown by the arrows in FIG. 2. This fluid, which may be heated saline, pressurizes balloon 27 pushing it into intimate physical and thermal contact with stent 28. Stent 28, under the influence of the pressure and temperature from balloon 27, expands to its deployed form. Balloon 27 and stent 28 therefore remain in intimate contact at all times during this deployment, enabling accurate placement of stent 28.

As seen in FIG. 2, a plurality of apertures 29 are formed between the interior of balloon 27 and central lumen 26 distally of the stent location. These apertures are dimensioned to allow controlled leakage of the temperature-controlled fluid into central lumen 26, and thence into vessel 100, to maintain the temperature of the fluid in the balloon. Apertures 29 have sufficient size, for example, about 10 mils, and number that balloon 27 is sufficiently pressurized to be maintained in intimate contact with stent 28 during deployment.

With respect to FIGS. 3A and 3B, an alternative embodiment of the stent delivery system of the present invention is described. Elements common with FIG. 2 are indicated by like reference numerals in FIGS. 3A and 3B. In FIG. 3A, stent 28 is compressed onto balloon 27 of balloon catheter 22. Balloon catheter 22 includes perfusion jets 30 located proximally of the stent position, by which temperature-controlled fluid may exit balloon catheter 22 and bathe the stent.

Perfusion jets 30 direct temperature-controlled fluid from inflation lumen 25 over stent 28. This provides a second means effecting the phase change in stent 28 in addition to heat exchange with balloon 27, as in the embodiment of FIG. 2. Perfusion jets 30 also may be used as an alternative to, or in addition to, distally-located apertures 29 described with respect to the embodiment of FIG. 2. Perfusion jets 30 are provided in sufficient number and size, for example, having a diameter of 10 mils, to enable balloon 27 to be adequately pressurized.

FIG. 3B illustrates operation of the delivery system and stent 28 during deployment. As seen in FIG. 3B, balloon 27 maintains intimate contact with stent 28 during deployment, thereby providing both temperature control and accurate placement of stent 28. Balloon 27

may additionally assist in the expansion of the stent by the application of radial expansion pressure to the stent.

Referring now to FIG. 4, another alternative embodiment of the present invention is described in which balloon 27 has a plurality of perfusion pores 40 located in the stent engagement region of the balloon. Perfusion pores 40 permit seepage of temperature-controlled fluid through the wall of balloon 27 directly onto stent 28. Perfusion pores 40 may be macroscopic or microscopic apertures, depending upon the choice of material for the balloon, and are preferably evenly distributed over the area within which the stent is located. As with the embodiments of FIGS. 2 and 3, the size and number of perfusion pores 40 is selected so that adequate pressure may be maintained in balloon 27 during deployment of stent 28.

FIG. 5 illustrates yet another alternative embodiment of the stent delivery system of the present invention. In the embodiment of FIG. 5, balloon catheter 22 includes external apertures 50 located distally of the stent location. Apertures 50 may be provided through balloon 27 or may be in the form of a partial seal between balloon 27 and central lumen 26. In this embodiment, temperature-controlled fluid leaks out of the balloon in a controlled manner downstream of stent 28. The fluid in the balloon is continually replenished through inflation lumen 25, thus maintaining the pressure and temperature of the fluid in balloon 27.

With respect to FIG. 6, a single lumen embodiment of the present invention is described. As seen in FIG. 6, balloon catheter 22 includes a single lumen 60. The absence of a guidewire and central lumen 26 allows for a significant reduction in the diameter of the stent delivery system. However, because balloon catheter 22 does not permit use of a guidewire, the catheter must itself be steerable. This can be achieved using the conventional technology of fixed-wire stents.

Balloon catheter 22 of FIG. 6 includes perfusion pores 40 in balloon 27 in the stent engagement region. Perfusion pores 40 allow temperature-controlled fluid to bathe stent 28, as shown by the arrows in FIG. 6. Like the previously described embodiments, balloon 27 is maintained in intimate physical and thermal contact with stent 28 during deployment, thus assisting deployment and positioning of the stent.

Referring now to FIG. 7, an alternative embodiment of a single lumen delivery system is described. Balloon catheter 22 includes inflation lumen 71 that communicates with the interior of balloon 27 via apertures 72. Inflation lumen 71 is also adapted to receive guidewire 24. A taper 73 is provided in the distal end of the catheter so that inflation lumen 71 is only slightly larger than guidewire 24. The small remaining gap between the guidewire and the exterior of inflation lumen 71 forms aperture 74. Aperture 74 permits escape of temperature-controlled fluid from the balloon as shown by the arrows.

Temperature-controlled fluid flows through aper-

tures 72 into balloon 27, as shown by the arrows in FIG. 7, to control the balloon temperature and pressurize the balloon during stent deployment. Apertures 72 are of sufficient number and size so as to ensure adequate pressurization of the balloon to maintain contact with the stent and also adequate flow through the balloon to maintain the temperature of the fluid.

Further in accordance with the above-described methods and apparatus, a deployed stent comprising a two-way shape-memory material or a mechanically expanded stent having a shape memory of a reduced diameter may be retrieved using the above-described apparatus. A stent comprising a two-way shape-memory material changes from its contracted configuration to its expanded configuration, and vice-versa, when it experiences a phase change.

The retrieval process begins by positioning the deployment device inside of the lumen of an expanded stent. A fluid at a temperature selected to cause the phase-change material of the stent to contract is then introduced to the balloon, which expands to contact the deployed stent and to place the fluid in thermal contact with the stent. As the stent changes phase, it contracts onto the balloon, and when the phase change is completed, perfusion may be halted. The balloon is then deflated and the delivery system withdrawn to retrieve the stent.

While one application for the above-described stent includes treatment of cardiovascular disease such as atherosclerosis or other forms of coronary narrowing, the present invention may also be used for treatment of narrowed vessels in other components of the vascular system, for example, the kidney, leg, carotid artery, or elsewhere in the body. As will of course be appreciated, the size of the stent and the stent delivery system, as well as their external characteristics, may need to be adjusted to compensate for the differing sizes of the vessel or passageway to be treated.

While this invention has been described in connection with illustrative preferred embodiments thereof, modifications and changes may be made thereto by those skilled in the art without departing from the spirit and scope of the invention. In particular, the location of the various apertures shown in FIGS. 2-7 are for illustrative purposes only. A typical catheter according to the present invention would have a combination of such apertures designed so as to optimize the temperature environment of the stent through the combination of contact with the balloon and direct contact with the perfusing temperature-controlled fluid. Moreover, this invention can be used for the deployment and retrieval of shape-memory stents of many different configurations. Accordingly, the scope of this invention is to be limited only by the appended claims.

## Claims

1. A catheter for use in delivering a stent, the stent having a reduced diameter for intraluminal delivery and an expanded diameter, greater than the reduced diameter, when heated beyond a transition temperature, the catheter comprising:
  - a first tube having a proximal end, a distal end and a wall defining a first lumen;
  - a balloon sealingly connected to the first tube adjacent the distal end, the balloon defining an interior volume and having an exterior surface defining a stent engagement region, the interior volume of the balloon in communication with the first lumen, so that a heated fluid introduced through the proximal end of the first lumen pressurizes the balloon and heats the stent engagement region.
2. The catheter as defined in claim 1 wherein the exterior surface of the balloon further comprises a portion defining a plurality of perfusion pores in the stent engagement region.
3. The catheter as defined in claim 2 wherein the first tube further includes a portion defining at least one aperture through the wall, the interior volume of the balloon communicating with the first lumen via the at least one aperture.
4. The catheter as defined in claim 1 wherein the first tube further comprises a portion defining an exit port located distally of the balloon.
5. The catheter as defined in claim 4 wherein the first tube further includes a portion defining at least one aperture through the wall, the interior volume of the balloon communicating with the first lumen via the at least one aperture.
6. The catheter as defined in claim 5 wherein the first lumen is adapted to receive a guidewire, the guidewire extending from the catheter distally of the balloon via the exit port.
7. The catheter as defined in claim 1 further comprising a second tube defining a second lumen adapted for receiving a guidewire, the first tube further including a portion defining at least one aperture through the wall located proximally of the stent engagement region.
8. The catheter as defined in claim 7 wherein the second tube is disposed concentrically within the first tube, the first lumen being defined by an annulus between the first and second tubes.

9. The catheter as defined in claim 1 further comprising a second tube defining a second lumen adapted for receiving a guidewire, the balloon including a portion defining at least one aperture located distally of the stent engagement region.
10. The catheter as defined in claim 9 wherein the second tube is disposed concentrically within the first tube, the first lumen being defined by an annulus between the first and second tubes.
11. The catheter as defined in claim 1 further comprising a second tube defining a second lumen adapted for receiving a guidewire, the balloon including a portion defining at least one aperture located distally of the stent engagement region.
12. The catheter as defined in claim 11 wherein the second tube is disposed concentrically within the first tube, the first lumen being defined by an annulus between the first and second tubes.
13. The catheter as defined in claim 1 further comprising a second tube defining a second lumen adapted for receiving a guidewire, the balloon including a portion defining a plurality of perfusion pores located in the stent engagement region.
14. The catheter as defined in claim 13 wherein the second tube is disposed concentrically within the first tube, the first lumen being defined by an annulus between the first and second tubes.
15. A method for manufacturing a stent delivery system including a catheter and a stent formed of a shape-memory material, the method comprising steps of:
  - providing a catheter having proximal and distal ends and an inflation lumen;
  - providing a balloon at the distal end of the catheter so that the interior of the balloon is in fluid communication with the inflation lumen, the balloon having a portion defining a stent engagement region;
  - providing at least one aperture in fluid communication with the inflation lumen to permit temperature-controlled fluid to exit the catheter near the balloon;
  - forming a stent having an expanded diameter from a shape-memory material;
  - heating the stent until the shape-memory material transitions to an austenite phase,
  - cooling the stent until the shape-memory material transitions to a martensite phase;
  - positioning the stent over the stent engagement region of the balloon; and
  - compressing the stent onto the stent engagement region to a reduced diameter less than the

expanded diameter.

16. The method as defined in claim 15 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing one or more perfusion jets located on the catheter proximal to the balloon, the jets being adapted to direct temperature-controlled fluid over the stent.
17. The method as defined in claim 15 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing one or more perfusion pores located in the stent engagement region, the perfusion pores being adapted to allow a controlled perfusion of temperature-controlled fluid over the stent.
18. The method as defined in claim 15 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing one or more apertures located on the catheter distal to the balloon, the apertures being adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.
19. The method as defined in claim 17 wherein the step of providing at least one aperture in fluid communication with the inflation lumen further comprises providing at least one aperture located distal to the stent engagement region of the balloon and adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.
20. The method as defined in claim 15 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing at least one aperture located between the interior of the balloon and the interior of a central lumen passing through the balloon, the at least one aperture being adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.
21. The method as defined in claim 15 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing at least one aperture located between the interior of the balloon and the exterior of the balloon distal of the stent engagement region, the at least one downstream aperture being adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.
22. A method for manufacturing a stent delivery system including a catheter and a stent formed of a shape-memory material, the method comprising steps of:
  - providing a catheter having proximal and distal

ends and an inflation lumen;  
 providing a balloon at the distal end of the catheter so that the interior of the balloon is in fluid communication with the inflation lumen, the balloon having a portion defining a stent engagement region;  
 providing at least one aperture in fluid communication with the inflation lumen to permit temperature-controlled fluid to exit the catheter near the balloon;  
 forming a stent having an expanded diameter from a shape-memory polymer;  
 physically reducing the diameter of the stent;  
 cooling the stent until the polymer enters the glass phase;  
 positioning the stent over the stent engagement region of the balloon.

23. The method as defined in claim 22 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing one or more perfusion jets located on the catheter proximal to the balloon, the jets being adapted to direct temperature-controlled fluid over the stent.

24. The method as defined in claim 22 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing one or more perfusion pores located in the stent engagement region, the perfusion pores being adapted to allow a controlled perfusion of temperature-controlled fluid over the stent.

25. The method as defined in claim 22 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing one or more apertures located on the catheter distal to the balloon, the apertures being adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.

26. The method as defined in claim 24 wherein the step of providing at least one aperture in fluid communication with the inflation lumen further comprises providing at least one aperture located distal to the stent engagement region of the balloon and adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.

27. The method as defined in claim 22 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing at least one aperture located between the interior of the balloon and the interior of a central lumen passing through the balloon, the at least one aperture being adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.

28. The method as defined in claim 22 wherein the step of providing at least one aperture in fluid communication with the inflation lumen comprises a step of providing at least one aperture located between the interior of the balloon and the exterior of the balloon distal of the stent engagement region, the at least one downstream aperture being adapted to allow a controlled flow of temperature-controlled fluid out of the balloon.

29. A method for introducing and deploying a stent comprising a shape-memory material at an affected site within a vessel of a human body, the method comprising steps of:

providing a catheter having proximal and distal ends, a balloon located in the region of the distal end, an inflation lumen communicating between the proximal end and the balloon, and at least one aperture in fluid communication with the inflation lumen to permit temperature-controlled fluid to exit the catheter near the balloon;  
 providing a stent that expands to an expanded diameter when heated beyond a transition temperature;  
 positioning the stent over the balloon in a form having a reduced diameter, the reduced diameter being less than the expanded diameter;  
 inserting the catheter transluminally through a body vessel until the stent is located within an area of the vessel to be treated;  
 providing a bio-compatible fluid at a temperature sufficient to heat the stent above the transition temperature; and  
 injecting the biocompatible fluid into the inflation lumen of the catheter at the proximal end of the catheter to cause inflation of the balloon and a controlled flow of the biocompatible fluid through the at least one aperture, the biocompatible fluid injected at a rate sufficient to maintain the balloon and the stent in intimate physical and thermal contact during transition of the stent from the reduced diameter to the expanded diameter.

30. The method as defined in claim 29 further comprising steps of:

after the step of injecting bio-compatible fluid, dissipating the fluid in the balloon to cause deflation of the balloon; and  
 withdrawing the catheter from the vessel of the human body.

31. A method for retrieving a stent having an expanded diameter comprising a shape-memory material from an affected site within a vessel of a human body, the method comprising steps of:

providing a catheter having proximal and distal ends, a balloon located in the region of the distal end, an inflation lumen communicating between the proximal end and the balloon, and at least one aperture in fluid communication with the inflation lumen to permit temperature-controlled fluid to exit the catheter near the balloon; inserting the catheter transluminally through a body vessel until the balloon is located within the stent within the body vessel; providing a bio-compatible fluid at a temperature effective to cause the stent to contract from the expanded diameter to a reduced diameter less than the expanded diameter; injecting the biocompatible fluid into the inflation lumen of the catheter at the proximal end of the catheter to cause inflation of the balloon and a controlled flow of the biocompatible fluid through the at least one aperture, the biocompatible fluid injected at a rate sufficient to inflate the balloon into intimate physical and thermal contact with the stent while the stent contracts from the expanded diameter to the reduced diameter; dissipating the fluid in the balloon to allow deflation of the balloon as the stent contracts onto the balloon; and withdrawing the catheter and the stent from the vessel of the human body.

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Figure 1 Prior Art

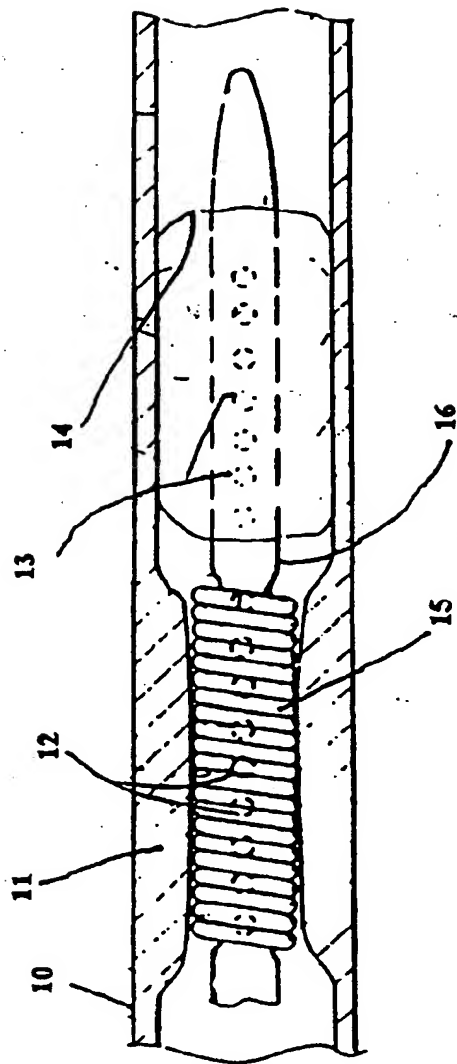
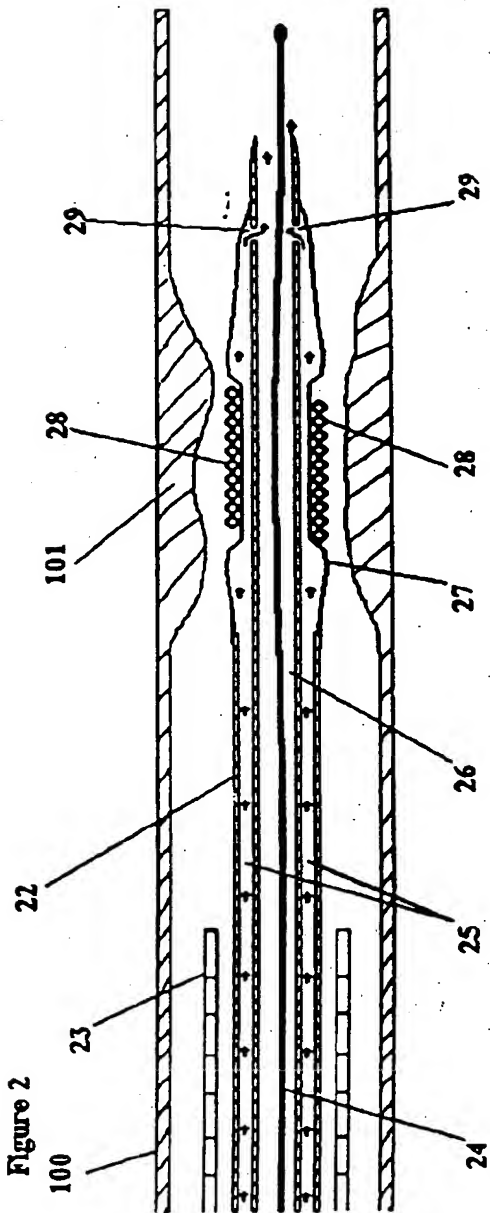


Figure 2



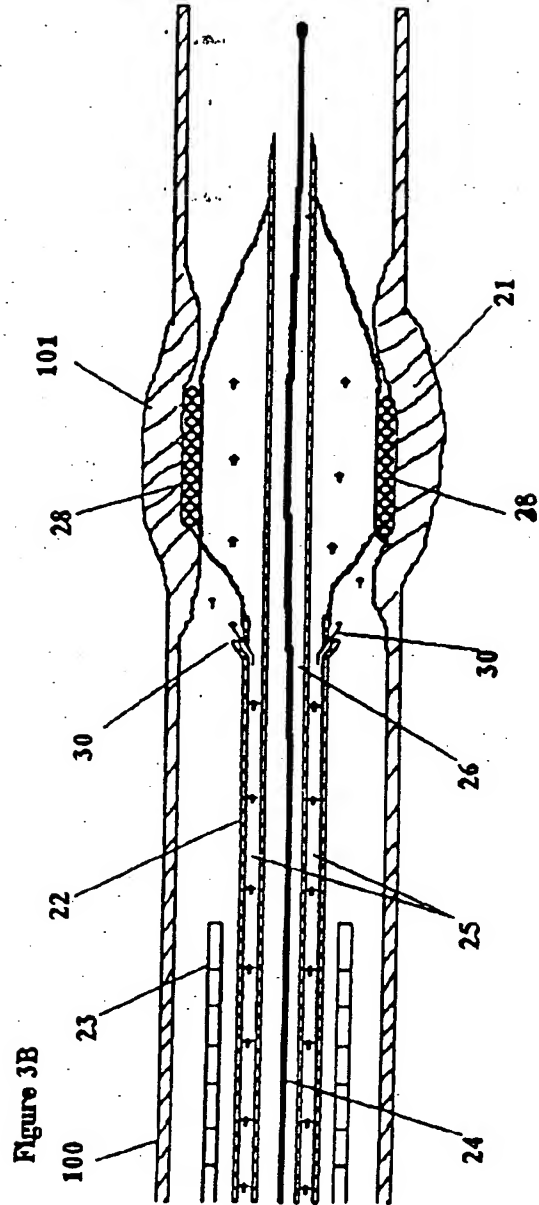
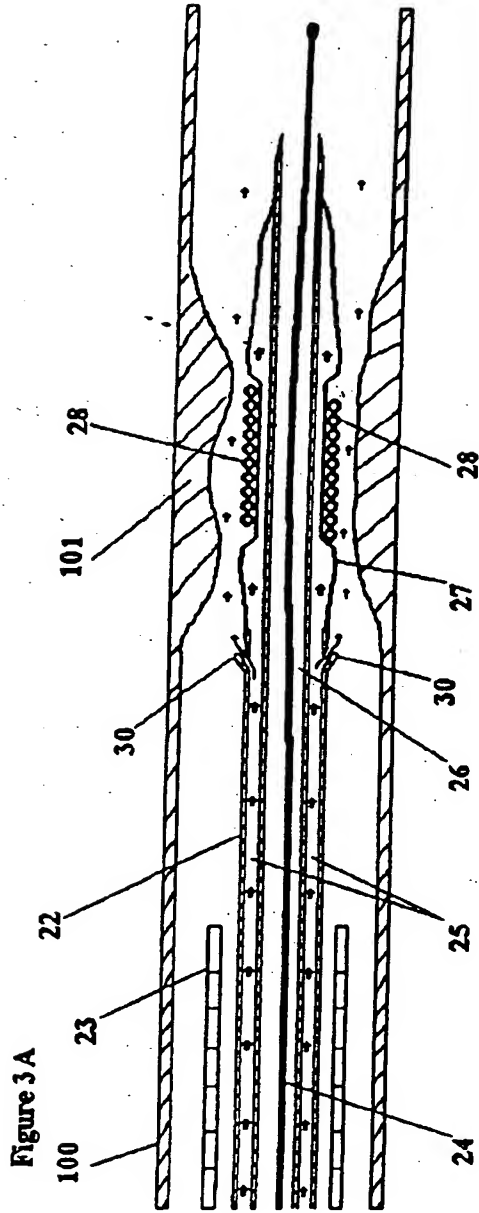


Figure 4

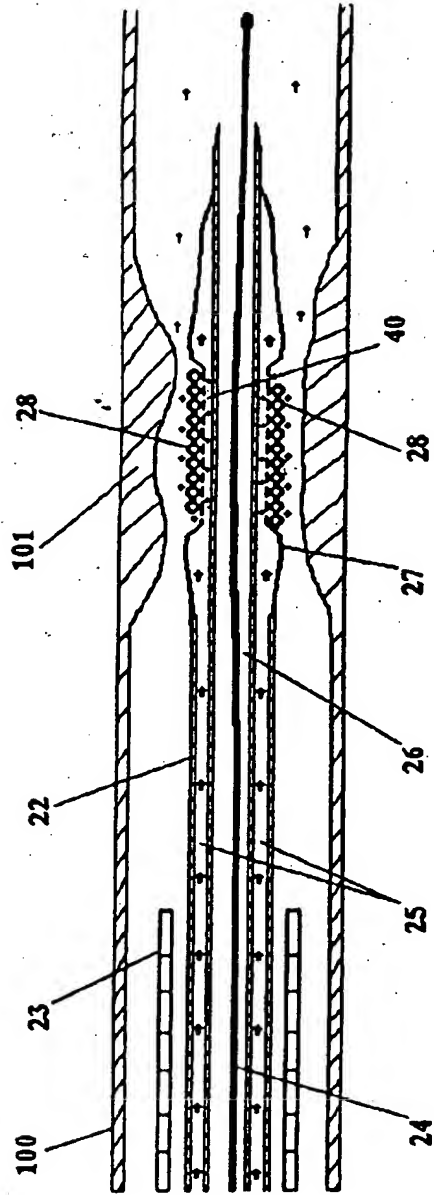
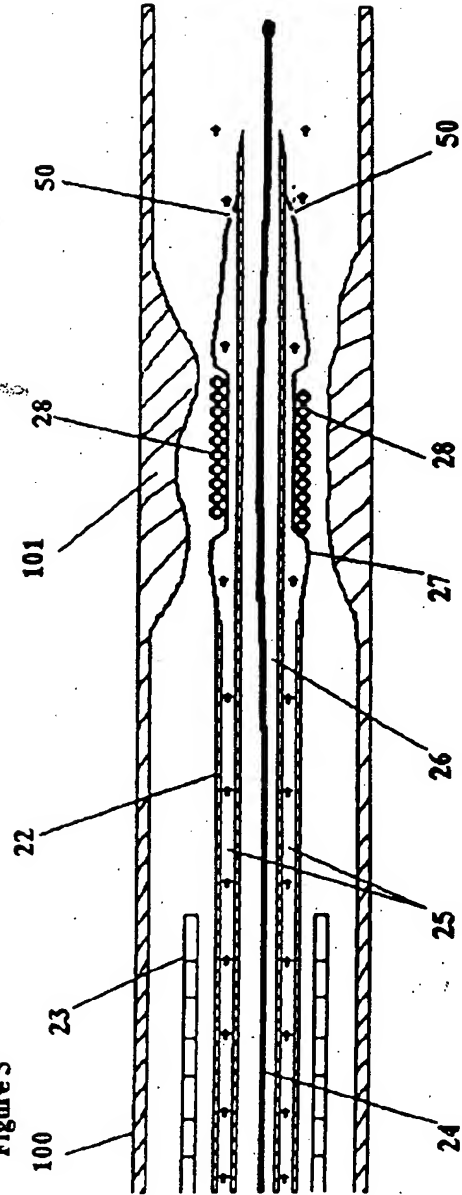
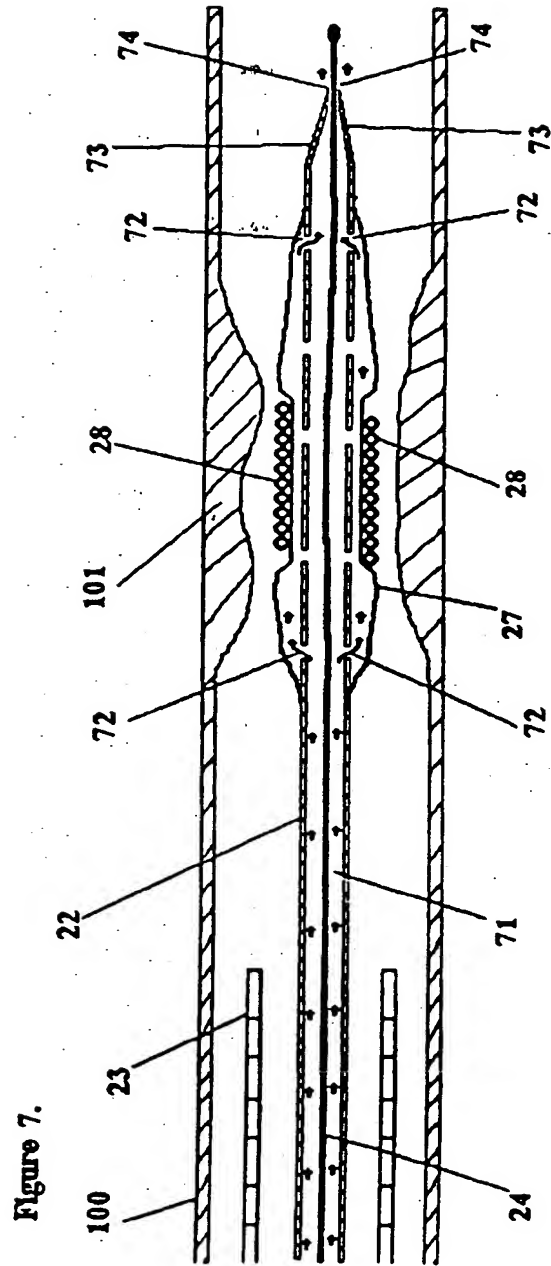
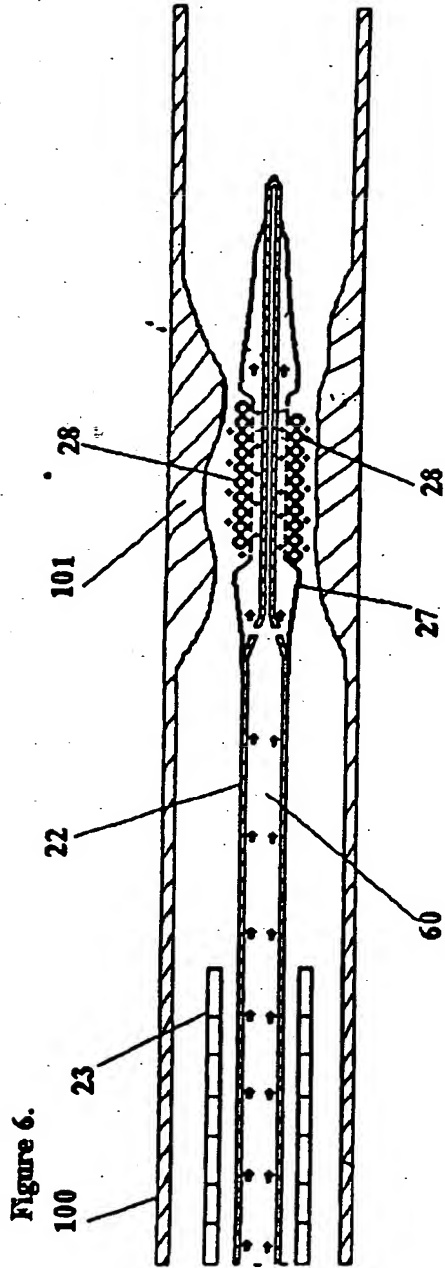


Figure 5





(19)



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(11)

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(12)

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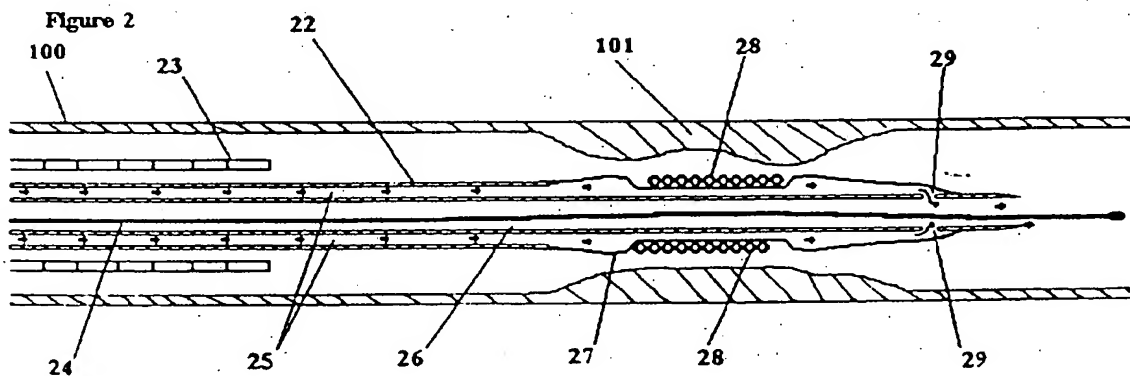
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**(54) Method and apparatus for delivery, deployment and retrieval of stents**

(57) The disclosure relates to a delivery, deployment and retrieval device for a stent (28) made from a phase-change material, including a balloon catheter (22) modified to allow the stent to be secured on the outside of the balloon (27) without the need for a sheath. A temperature-controlled fluid to effect a phase change to ex-

pand the stent is injected through an inflation lumen (25) to maintain the selected temperature and to inflate the balloon. The balloon maintains intimate physical and thermal contact with the stent during deployment. One or more exit apertures (29) are provided for the fluid to escape from the inflation lumen and enhance the phase change by bathing the stent with the fluid.



**EP 0 778 010 A3**



European Patent  
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# PARTIAL EUROPEAN SEARCH REPORT

Application Number

which under Rule 45 of the European Patent Convention EP 96 30 8844 shall be considered, for the purposes of subsequent proceedings, as the European search report

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	DE 90 14 845 U (SCHNEIDER (EUROPE) AG) 10 January 1991 * page 7, last paragraph - page 8, paragraph 3; figures *	1	A61F2/06
A	---	4-8, 15, 22	
D, A	US 5 163 952 A (FROIX MICHAEL) 17 November 1992 * abstract; figures * * column 9, line 5 - column 10, line 37 *	1, 15, 22	
A	EP 0 626 153 A (BROMBERG & CO LTD A) 30 November 1994 * column 5, line 1 - line 21; claim 1; figures *	1, 15, 22	
A	EP 0 666 065 A (MORI KATSUSHI) 9 August 1995 * column 5, line 44 - column 6, line 18; figures *	1, 15, 22	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			A61F A61M
INCOMPLETE SEARCH			
<p>The Search Division considers that the present European patent application does not comply with the provisions of the European Patent Convention to such an extent that it is not possible to carry out a meaningful search into the state of the art on the basis of some of the claims.</p> <p>Claims searched completely :</p> <p>Claims searched incompletely :</p> <p>Claims not searched :</p> <p>Reason for the limitation of the search:</p> <p>see sheet C</p>			
Place of search		Date of completion of the search	Examiner
THE HAGUE		18 April 1997	Neumann, E
CATEGORY OF CITED DOCUMENTS			
<p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 150 01.82 (P0407)



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EP96308844 - C -

**INCOMPLETE SEARCH**

The Search Division considers that the present European patent application does not comply with the provisions of the European Patent Convention to such an extent that it is not possible to carry out a meaningful search into the state of the art on the basis of some of the claims.

Claims searched completely: 1-28

Claims searched incompletely:

Claims not searched: 29-31

Reason for the limitation of the search:

Diagnostic method practised on the human or animal body (see Art. 52(4) of the European Patent Convention).

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